

# Privacy Policy & Practices

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Annual Disclosure to Clients | 2026

The Securities and Exchange Commission adopted Regulation S-P in June 2000. This regulation addresses notification to individuals about the privacy of their personal information. These regulations require all financial services companies to disclose, at least annually, the types of personal information they collect from their clients. Firms are also required to disclose whether they share any information with third parties.

We consider our relationship with our clients as one of our most important assets. We strive to maintain your trust and confidence in our firm. We are committed to protecting your personal information.

## Information We Collect

- In the course of our business relationship, we receive from you, your name, address, phone number, date of birth, social security or taxpayer identification number and source of income.
- In our discussions with you, we also gather information necessary to make informed portfolio management decisions regarding asset allocation, income needs, tax ramifications etc.
- In transactions for your account, we generate and receive information that is used to execute transactions on your behalf with the financial institution that serves as your custodian.

## How We Protect Your Personal Information

Kunath, Karren, Rinne & Atkin will internally safeguard your nonpublic personal information by restricting access to only those employees who provide services to you or those who need access to your information to service your account. In addition, we will maintain physical, electronic and procedural safeguards to protect your personal information from being accessed by unauthorized persons

## **Kunath Karren Rinne & Atkin will not disclose any information about you unless:**

- We receive your prior written consent
- We have been advised that your accountant, attorney, or consultant is requesting the information on your behalf
- We are legally required to provide the information to a governmental agency
- We are dealing directly with your custodial representative

We do not disclose any nonpublic information about our clients or former clients to anyone, except as described above.

*This notice is provided for your information only; no action is required. Questions? Contact us at 206-621-7400*